

## NEDGROUP INVESTMENTS FUNDS PLC TRUST APPLICATION FORM

For shares in Nedgroup Investments Funds Plc (the Scheme). Incorporated in the Isle of Man, Company number 004500V.  
This application, together with all appendices and exhibits here to (the Application) is governed by Isle of Man law.

### Application Details

Please use BLOCK CAPITALS and blue or black ink only. Do not use correction fluid; any amendments should be crossed out and initialled. Any incomplete information will need to be confirmed in writing by the applicants once Nedgroup Investments (IOM) Limited (the Manager) has received the Application.

The address of the first named will be used for correspondence.

PLEASE ENSURE THAT THE APPROPRIATE DECLARATIONS ARE SIGNED AND ALL SECTIONS ARE COMPLETED IN FULL.

### Section 1 - Trust Details

Name of Trust			
Trustee Name			
Registered Address			
Registration No		Country of Incorporation	
Contact Name		Date of establishment	
Tel No		Contact Email Address	
Fax No			

Correspondence Address - if different


Nature of Trust


Directors/Individual Trustee Details

Director/Individual Trustee Name	Title (eg, Mr/Mrs/Miss/Ms/Other)	Gender
Residential Address		
	Place of Birth	Nationality
		Date of Birth

Director/Individual Trustee Name	Title (eg, Mr/Mrs/Miss/Ms/Other)	Gender
Residential Address		
	Place of Birth	Nationality
		Date of Birth

Where there are further Directors/Trustees to be disclosed, please duplicate this page of the form and complete the required information for other directors.

Settlor

Settlor's Name	Title (eg, Mr/Mrs/Miss/Ms/Other)	Gender
Settlor's Address		
	Place of Birth	Nationality
		Date of Birth

Beneficiaries

Beneficiary Name	Title (eg, Mr/Mrs/Miss/Ms/Other)	Gender
Beneficiary Address		
	Place of Birth	Nationality
		Date of Birth

Beneficiary Name	Title (eg, Mr/Mrs/Miss/Ms/Other)	Gender
Beneficiary Address		
	Place of Birth	Nationality
		Date of Birth

Where there are further Beneficial Owners to be disclosed, please duplicate this page of the form and complete the required information for other Beneficial Owners.

### Section 3 - Investment Details

Total amount to be invested (Curr) \_\_\_\_\_ (Amount) \_\_\_\_\_

Fund	Investment Amount (USD, GBP, €)	Or % Allocation
Global Equity Fund Class A (minimum investment \$100,000)		
Global Equity Fund Class B (minimum investment \$4,000)		
Global Equity Fund Class C (minimum investment \$500,000)		
Global Equity Fund Class D (minimum investment \$1,000,000)		
Global Balanced Fund Class A (minimum investment \$100,000)		
Global Balanced Fund Class B (minimum investment \$4,000)		
Global Balanced Fund Class C (minimum investment \$500,000)		
Global Balanced Fund Class D (minimum investment \$1,000,000)		
Global Cautious Fund Class A (minimum investment \$100,000)		
Global Cautious Fund Class B (minimum investment \$4,000)		
Global Cautious Fund Class C (minimum investment \$500,000)		
Global Cautious Fund Class D (minimum investment \$1,000,000)		
The US Dollar Fund (minimum investment \$4,000)		
The Sterling Fund (minimum investment £2,500)		
The Euro Fund (minimum investment €4,000)		

100%

Shares will be placed on acceptance of this application by the Manager. The price will be determined at the next valuation point following receipt of this application. Please note that for additional investments, the Manager will assume, unless advised in writing to the contrary, that the investment is to be split as per the above allocation.

### Section 4 - Source of Funds

Amount to be invested, to be transferred from:

Bank/Company: \_\_\_\_\_

Account details: name \_\_\_\_\_

Account number: \_\_\_\_\_

### Section 5 - Source of Wealth

Source of wealth - how were these funds generated? (including source of savings or source of existing investment, where applicable).

Please provide evidence.

Savings from: \_\_\_\_\_

Inheritance - source and when: \_\_\_\_\_

Sale of Property - source and when: \_\_\_\_\_

Other - please provide details: \_\_\_\_\_

## Section 6 - Nominated Bank Account

Please provide your full banking details in the event of redemption of shares request.

Correspondent Bank Name	
SWIFT code/sort code/routing number	
Account Number	
Beneficiary Bank Name	
Beneficiary Bank Address	
City	
Country	Postal Code
SWIFT code/sort code/routing number	
Beneficiary Account Name	
Beneficiary Account Number/IBAN	

The Manager will remit redemption proceeds to the above mentioned account unless advised otherwise.

## Section 7 - Notes

1. The application may be completed by a duly authorised agent on behalf of the applicant(s). Such person represents and warrants that he/she is duly authorised to sign this form and thereafter redeem shares on behalf of the applicant(s). Such agent submits the Power of Attorney or other authority under which he/she acts with the completed application and identification documents for both the agent and all applicants.
2. No share certificate will be issued, ownership is evidenced by the Scheme's Register of Shareholders.
3. Fractional shares will be issued to four decimal places.
4. If more than one applicant signs, then such signatories will be deemed to be joint applicants and joint holders. Applicants should bear in mind that all joint signatories will be required to sign subsequent instructions unless an alternative instruction is given under the signing mandate in Section 11. In the event of the death of any signatory, the Scheme will accept the surviving holder(s) signature(s) for any instruction pertaining to the subscription for new shares or the redemption of shares or the switching of funds.
5. All bank charges incurred in the transfer of funds will be for the account of the applicant(s).
6. Investments in the Scheme should be viewed as medium to long term investments. The value of shares may go down as well as up and past performance is not necessarily a guide to the future. The Scheme is traded at ruling prices. A schedule of fees and charges and maximum commissions is available on request from the company/scheme. Commission and incentives may be paid and if so, would be included in the overall costs. Funds are priced on a forward basis. Scheme Particulars are available on request from your broker or the following address:  
Nedgroup Investments (IOM) Limited, First Floor, Samuel Harris House, St George's Street, Douglas, Isle of Man IM1 1AJ
7. This application must be submitted with the verification of identity requirements as outlined in Section 12.

## Section 8 - Data Protection

I/We (the 'Data Subject/s') understand and agree that the information supplied by me/ us in connection with this application will become part of the data held by Nedgroup Investments (IOM) Limited (the 'Data Controller') in accordance with the provisions of the Isle of Man's Data Protection Act 2002 (DPA) (or any statutory modification or re-enactment thereof). The information supplied, including Sensitive Personal Data as defined under the DPA will be used by the Data Controller for the purpose of setting up this Portfolio and its continuing administration. Some or all of the information supplied to the Data Controller may be anonymously used for research and statistical investigation and business analysis.

In signing the declaration below, I/we acknowledge that the Data Controller will process this application using the information which has been provided.

The data supplied in this application may be transferred to the Data Controller's associated companies within the Nedbank Group for analysis, management purposes and statutory returns. This information may also be used to maintain management information for business analysis. Data being transferred to any third party mentioned here may be transmitted electronically via e-mail.

Should you object to your personal data being used please write to us at:

Nedgroup Investments, First Floor, Samuel Harris House, St George's Street, Douglas, Isle of Man, IM1 1AJ

## Section 9 - Declaration

1. I/We hereby irrevocably apply for the shares set out above at a price determined in accordance with the current Scheme Particulars.
2. I/We hereby acknowledge that I/we have received and considered the current Scheme Particulars relating to the Scheme and that this application is made on the terms thereof and subject to the Memorandum and Articles of Association.
3. I/We hereby acknowledge that I/we are aware of the Total Expense Ratio ("TER") of the Scheme as disclosed in the most recent Fund Fact Sheets.
4. I/We hereby vest in Nedgroup Investments (IOM) Limited a mandate to manage the Scheme investments at its sole discretion in accordance with the Scheme Particulars.
5. I/We hereby indemnify Nedgroup Investments (IOM) Limited against any loss or damage suffered by me/us as a consequence of any depreciation in the value of investments resulting from any decline in market prices and/or movement in exchange rates.
6. I/We hereby confirm that in making this investment I/we have complied with all legislation and regulation of my/our country of residence, in particular (in the case of South African residents) the South African Reserve Bank Regulations pertaining to the maximum permissible offshore investment amount.
7. I/We hereby undertake to advise Nedgroup Investments (IOM) Limited in writing of any changes to my/our details, provided in Section 1.
8. I/We warrant that the personal details provided by me/us are true and correct and hereby indemnify Nedgroup Investments (IOM) Limited against all losses or damage suffered in reliance thereon.
9. I/We hereby declare that all statements made by me/us in this application are to the best of my/our knowledge and belief, true and complete.
10. I/We acknowledge that due to anti money laundering requirement, the Manager and/or the Scheme will require proof of identity and other information as required from time to time by our regulators and law enforcement agencies, before the application can be processed and the Manager and/or the Scheme shall be held harmless and indemnified against any loss ensuing to the failure to process this application, if such information as has been required has not been provided by me/us.
11. Unless instructed to the contrary by me/us, and in the circumstances where I/we have the right to vote in relation to the shares hereby subscribed for, Nedgroup Investments (IOM) Limited will vote in favour of all resolutions proposed at general and class meetings and will not be liable for any consequences so acting.

## Section 10 - Signing Mandate

Signatories required to authorise transactions over joint holdings

Any one may sign solely  All to sign jointly  Other  \_\_\_\_\_  
(specify)

(If none of the boxes are ticked, all joint holders will be required to sign)

Signed at:	<input type="text"/>	(Location)		
Name (1st holder)	<input type="text"/>	Signed	<input type="text"/>	Date <input type="text"/>
Name (2nd holder)	<input type="text"/>	Signed	<input type="text"/>	Date <input type="text"/>
Name (3rd holder)	<input type="text"/>	Signed	<input type="text"/>	Date <input type="text"/>
Name (4th holder)	<input type="text"/>	Signed	<input type="text"/>	Date <input type="text"/>

## Section 11 - To be completed by the Business Introducer

- Have you stated the source of the funds that your client(s) is/are investing and provided evidence?
- Have you stated the source of wealth (the means by which your client(s) came by the money they are investing) and provided evidence of this?

Business Introducer Information:

1. Name and address or stamp

Broker code (if applicable)

2. Nedgroup Investments Account Manager

CIF No (SA Introducer only)

3. Initial Fee Information:

Please indicate the initial fee percentage to be applied. Where this is left blank no initial fee will be deducted.

Initial Fee charged to Investor

Retained by Introducer

Retained by Nedgroup Investments

## Section 12 - Verification of Identity Requirements

Please check you have provided the following:

Have you included with your application verification of the physical residential address(es) of all applicants through one of the following recent documents:

- Original or duly certified copy of utility bill
- Original or duly certified copy of bank/credit card statement
- Original or duly certified telephone directory entry
- Written confirmation from approved intermediary/reputable financial institution

*(All items must be less than three months old and must reflect client's true physical address, NOT postal address or P O Box).*

Have you included, for all applicant(s), an original certified copy of one of the following?

- A current and valid "full" passport bearing your signature
- A national identity document bearing your signature (if this is not on the document, please sign the copy)
- A current full driving licence bearing your signature (if this is not on the document, please sign the copy)

Please note that certification will only be accepted if performed by an appropriate individual, for example: lawyer, accountant or commissioner of oaths who should state his/her name, status as a certifier, sign and date the document and specify that it is a true copy of the original and the photograph is a true likeness of the individual.